

	<p style="text-align: center;"><b>INDIAN INSTITUTE OF TECHNOLOGY MADRAS</b> <b>Chennai 600 036</b></p> <p>Telephone : [044] 2257 8356/9760      FAX : [044] 22570545/8366 E-mail: <a href="mailto:arpp@iitm.ac.in">arpp@iitm.ac.in</a></p>	
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*Dr. Somnath Chanda Roy*  
Project Coordinator

Ref: PHY/SCR/07/2016  
Dated: 21.07.2016

Open Tender No: PHY/SCR/07/2016

**Technical Bid opening meeting on 11.08.2016 at 3.30 p.m. Due Date: 11 .08.2016, 2:30pm**

Dear Sir/Madam,

On behalf of the Indian Institute of Technology Madras, offers are invited for the supply of

**DC and RF sputtering unit** conforming to the specifications given in **(Annexure-I)**:

**Instructions to the Bidder**

- (i) **Preparation of Bids:** - The tenders should be submitted under two-bid system (i.e.) Technical bid and Financial bid.
- (ii) **Delivery of the tender:** - The tender shall be sent to the below-mentioned addresses either by post or by courier so as to reach the following address before the due date and time specified in our Schedule:  

Assistant Registrar, Project Purchase, II Floor IC&SR Building, IIT Madras-600 036.
- (iii) **Opening of the tender:** - The offer/Bids will be opened by a committee duly constituted for this purpose. The technical bids will be opened first and it will be examined by a technical committee which will decide the suitability of the bid as per our specifications and requirements. The bidders will be invited for opening of Technical bids. In respect of opening of financial bid, those bidders who are technically qualified only will be called for.
- (iv) **Prices:** - The price should be quoted in net per unit (after breakup) and must include all packing and delivery charges to various Departments/Centres/Institutions. The offer/bid should be exclusive of taxes and duties, which will be paid by the purchaser as applicable. However the percentage of tax & duties should be clearly indicated.

**The price should be quoted without custom duty and excise duty, since I.I.T. Madras is exempt from payment of excise duty, and the custom duty will be paid at concessional rate against duty exemption certificate.**

In case of import supply, the price should be quoted on EX-WORKS and CIP basis indicating the mode of shipment.

- (v) **Agency Commission:** - Agency commission, if any, will be paid to the Indian agents in Rupees on receipt of the equipment and after satisfactory installation. Agency Commission will not be paid in foreign currency under any circumstances. The details should be explicitly shown in Tender even in the case of 'Nil' commission. The tenderer should indicate the percentage of agency commission to be paid to the Indian agent. The foreign Principal should indicate about the percentage of payment and it should be included in the originally quoted basic price, if any.
- (vi) **Terms of Delivery:** - The item should be supplied to our Departments as per Purchase Order. In case of import supply, the item should be delivered at the cost of the supplier to our Institution. The Installation/Commissioning should be completed as specified in our important conditions.
- (vii) **Technical Bid Opening:** The technical bid will be opened on **11.08.2016 at 3.30 p.m** at the Conference room, Department of Physics, IIT Madras and the financial bids of those tenders who are technically qualified will be opened at a later date under intimation to them.
- (viii) IIT Madras reserves the full right to accept / reject any tender at stage without assigning any reason.

Yours sincerely,

## SCHEDULE

### Important Conditions of the tender

1. The due date for the submission of the tender is **11.08.2016, 2:30pm.**
2. The offers / bids should be submitted in two bids systems (i.e.) Technical bid and Financial bid. The Technical bid should consist of all technical details / specifications only. The Financial bid should indicate item-wise price for each item and it should contain all Commercial Terms and Conditions including Taxes, transportation, packing & forwarding, installation, guarantee, payment terms, pricing terms etc. The Technical bid and Financial bid should be put in separate covers and sealed. Both the sealed covers should be put in a bigger cover. The Limited Tender for supply of **DC and RF sputtering unit** should be written on the left side of the Outer bigger cover and sealed.
3. **EMD: - EMD should be at 2% (two percent) of the tender value quoted by the bidder.** The EMD should be included in the Financial bid which will not be opened for Technical evaluation. Enclosing the EMD in the Technical bid will automatically disqualify the tenderer. EMD should be in the form of DD in favour of "The Registrar, Indian Institute of Technology Madras" and payable at Chennai. The tender without EMD would be considered as UNRESPONSIVE and REJECTED. Photo/FAX copies of the Demand Draft/Banker's pay orders will not be accepted. No interest will be paid for the EMD and the EMD (Bid Security) will be refunded to the successful bidder on receipt of Performance Security.
4. **Performance Security:-** The successful bidder should submit Performance Security for an amount of 5% of the value of the contract/supply. The Performance Security may be furnished in the form of an Account Payee DD, FD Receipt from the commercial bank, Bank Guarantee from any nationalized bank of India will be an acceptable.

**Only after submission of Performance Security, Purchase Order/Work Order will be released / L.C will be opened.**

**Performance Security in the form of Bank Guarantee:-** In case the successful bidder wishes to submit Performance Security in the form of Bank Guarantee, the Bank Guarantee should be routed through the Beneficiary Bank to the end user bank. Otherwise, the Indian Agent of the foreign vendor has to submit a Bank Guarantee from a Nationalized Bank of India.

The Bank Guarantee should remain valid for a period of sixty days beyond the date of completion of all contractual obligations of the supplier including the warranty obligations.

5. If an Indian agent is involved, the following documents must be enclosed:  
Foreign principal's proforma invoice indicating the commission payable to the Indian Agent and nature of after-sales service to be rendered by the Indian Agent.
  - ✓ Copy of the agency agreement with the foreign principal and the precise relationship between them and their mutual interest in the business.
  - ✓ The enlistment of the Indian agent with Director General of Supplies & Disposals under the Compulsory Registration Scheme of Ministry of Finance.
6. The offer/bids should be sent only for a machine that is available in the market and supplied to a number of customers. A list of customers in India and abroad with details must accompany the quotations. Quotations for a prototype machine will not be accepted.
7. It is mandatory that the bidder should have supplied such equipment within India in any of the leading Government Laboratories or Research Centres or IISc or IITs.
8. Original catalogue (not any photocopy) of the quoted model duly signed by the principals must accompany the quotation in the Technical bid. No prices should ever be included in the Technical bid.
9. Documentary proof for the claimed position and repetition accuracies must be obtained from the principals and submitted along with the relevant pages of the standards.
10. Compliance or Confirmation report with reference to the specifications and other terms & conditions should also be obtained from the principal.
11. **Validity:** Validity of Quotation not less than 90 days from the due date of tender.
12. **Delivery Schedule:-** The tenderer should indicate clearly the time required for delivery of the item. In case there is any deviation in the delivery schedule, liquidated damages clause will be enforced or penalty for the delayed supply period will be levied.
13. **Risk Purchase Clause:-** In the event of failure of supply of the item/equipment within the stipulated delivery schedule, the purchaser has all the right to purchase the item/equipment from other sources on the total risk of the supplier under risk purchase clause.
14. **Payment:-** No Advance payment will be made for Indigenous purchase. However 90% Payment against Delivery and 10% after installation are agreed to wherever the installation is involved. In case of import supplies the payment will be made only through 100% Letter of Credit i.e. (90% payment will be released against shipping documents and 10% after successful installation wherever the installation is being done).

15. **Advance Payment:-** No advance payment is generally admissible. In case of specific percentage of advance payment is required, the Foreign Vendor has to submit a Bank Guarantee equal to the amount of advance payment and it should be routed through the Beneficiary Bank to the end user Bank. Otherwise, the Indian Agent of the foreign vendor has to submit a Bank Guarantee through a Nationalized Bank of India.
16. **On-site Installation:** - The equipment or machinery has to be installed or commissioned by the successful bidder within 15 to 20 days from the date of receipt of the item at site of IIT Madras.
17. **Warranty/Guarantee:** - The offer should clearly specify the warranty or guarantee period for the machinery/equipment. Any extended warranty offered for the same has to be mentioned separately. (for more details please refer our Technical Specifications).
18. **Late offer:** - The offers received after the due date and time will not be considered. The Institute shall not be responsible for the late receipt of Tender on account of Postal, Courier or any other delay.
19. **Acceptance and Rejection:** - I.I.T. Madras has the right to accept the whole or any part of the Tender or portion of the quantity offered or reject it in full without assigning any reason.
20. **Do not quote the optional items or additional items unless otherwise mentioned in the Tender documents / Specifications.**
21. **Disputes and jurisdiction:**
  - a. **Settlement of Disputes:** Any dispute, controversy or claim arising out of or in connection with this PO including any question regarding its existence, validity, breach or termination, shall in the first instance be attempted to be resolved amicably by both the Parties. If attempts for such amicable resolution fails or no decision is reached within 30 days whichever is earlier, then such disputes shall be settled by arbitration in accordance with the Arbitration and Conciliation Act, 1996. Unless the Parties agree on a sole arbitrator, within 30 days from the receipt of a written request by one Party from the other Party to so agree, the arbitral panel shall comprise of three arbitrators. In that event, the supplier will nominate one arbitrator and the Project Coordinator of IITM shall nominate one arbitrator. The Dean IC&SR will nominate the Presiding Arbitrator of the arbitral tribunal. The arbitration proceedings shall be carried out in English language. The cost of arbitration and fees of the arbitrator(s) shall be shared equally by the Parties. The seat of arbitration shall be at IC&SR IIT Madras, Chennai..
  - b. **The Applicable Law:** This Purchase Order shall be construed, interpreted and governed by the Laws of India, Court at Chennai shall have exclusive jurisdiction subject to the arbitration clause.

22. All Amendments, time extension, clarifications etc., will be uploaded on the website only and will not be published in newspapers. Bidders should regularly visit the above website to keep themselves updated. No extension in the bid due date/ time shall be considered on account of delay in receipt of any document by mail.

**Acknowledgement:-** It is hereby acknowledged that the tenderer has gone through all the conditions mentioned above and agrees to abide by them.

**SIGNATURE OF TENDERER  
ALONG WITH SEAL OF THE  
COMPANY WITH DATE**

### Technical Specifications for the DC and RF sputtering unit

1. Magnetron cathode (2 nos.) : One to be connected to DC and the other to RF power supply
  - 2 “ Diameter with water cooling (indirect) arrangement
  - Stainless steel design and built-in shutter
  - Sm-Co magnet
  - 5 Amps maximum current
  - Bake out temperature 100 – 150 °C
  - Mechanism for interlock between water flow switch and RF/DC power supplies should be provided so that the magnetrons are not powered without water flow
  - Magnetrons should be equipped with flexible bellow for changing the angle of the sputter heads
  - Provision for varying target-substrate distance
  
2. RF power supply
  - Power capacity : 600 Watt max.
  - Input 230 V single phase
  - Output impedance 50 Ohm
  - Frequency : 13.56 MHz
  - Matching network: Automatic tuning
  - Make: T&C power/ Advanced Energy or Equivalent
  
3. DC power supply
  - Maximum power : 1000 Watt (1kW)
  - Input voltage : 230 V single phase AC
  - Output voltage 50 V DC to 1000 V DC variable with fine control
  - Output current : 0 – 1 Amps variable with fine control
  - Separate displays for voltage and current
  - Over voltage : 1040 V +/- 10 VDC
  - Control mechanism : SMPS based
  - Protection against Output overload and short circuit
  - Operating temperature : 5 – 50 °C
  - Ripple and Noise : +/- 1% and +/- 2 % respectively at full load and at 230 V
  
4. Vacuum chamber :
  - Construction material: SS 304 or better quality SS
  - Design : D type with front opening , 4” view port on the front door
  - Achievable vacuum :  $\leq 10^{-6}$  mbar
  - Dimensions : 450 mm (H) , 350 mm (Depth), 350 mm (W) approximately, suitable for holding the two magnetrons, substrate holder and other accessories
  - Ports for Pumping, Magnetrons, Vacuum gauges, Substrate holder with rotation assembly, Electrical feedthroughs, Digital Thickness monitor and Blanks
  
5. High vacuum pump :
  - Turbo Molecular Pump

- Make: Edwards/Leybold/Pfeiffer /Equivalent
  - Pumping speed : 300 l/sec for nitrogen
  - Inlet flange : DN100ISO-K
  - Outlet: DN25NW
6. Low Vacuum pump :
    - Dry (Scroll) pump
    - Make: Edwards/Leybold/Pfeiffer /Equivalent
    - Pumping speed : > 10 m<sup>3</sup>/hr
    - Rotation : 1200 rpm or higher
    - Achievable vacuum : 5 x 10<sup>-3</sup> mbar or better
    - Flange size : NW25
  7. Mass Flow Controllers (MFCs) : 2 nos. (1 Ar, 1 O<sub>2</sub>)
    - Flow range : 0 -100 sccm
    - Power supply with Digital display for control of the gas flow
    - Make: Aalborg/Bronkhost/MKS or Equivalent
  8. Thickness Monitor
    - Mechanism : Quartz crystal type
    - Inficon or Equivalent make
  9. Vacuum gauges :
    - Pirani guage for roughing/backing line and Active Inverted Magnetron (AIM) gauge for measuring high vacuum. A capacitance manometer gauge should also be provided
  10. Manually operated high vacuum throttle gate valve, Bellow sealed right angle valve, vent valve
  11. Substrate holder
    - Suitable for holding 3” substrates
    - Magnetically coupled substrate rotation through DC drive for 5 – 20 rpm rotation
    - Substrate heating upto 300 °C during deposition
    - Temperature control with PID controller
  12. Control Panel Instrumentation
    - Displays for Vac. Gauges, Substrate temperature and heating control, pump switches, DC/RF power supplies, TMP control, MFCs
    - Interlock between Scroll pump and TMP
    - Emergency power shut-down button/switch
  13. Other requirements :
    - The necessary utilities (power lines, water and gas connections) should clearly be mentioned along with tender document
    - The power/control unit and vacuum system should be mounted on frame with wheels for ease of movement
    - Technical brochures for individual components should be provided
    - All components /subsystems should carry 3 years warranty
    - The vendor must have fully equipped service centre for all parts/ components (TMP *etc*) in India



- The vendor must have supplied atleast 10 number of similar systems to Govt. labs/ institutes in India including atleast ONE to the IIT Madras
- List of Organization which have purchased similar equipment must be supplied along with the details of the contact person
- A technical drawing showing the design and dimensions must be provided
- A Table showing compliance with the required specifications must be presented.